# **Chapter 8 – Subprograms: Subroutines and Functions**

This chapter covers a number of details in the implementation of subprograms, also called "subroutines" and "functions". Other terms include "procedures" (Pascal) and "methods" (Java). Subprograms were originally devised as a way to share code and thereby reduce the size of executing programs. In the present day, in which memory is very cheap and 1 GB primary memories are considered small, the subprogram is used mostly for considerations of software engineering.

A **function** is a subprogram that returns a value; a **subroutine** is a subprogram that does not. In the terminology of C and C++, a subroutine is called a "function returning void". All that is needed to convert a subroutine to a function is to decide on a convention for returning a value. In a modern Pentium, this might be the **EAX** register, in which case the value last stored in EAX will be the value returned for the function.

The development of the subprogram can be traced to David Wheeler, then a graduate student working on the development of the EDSAC computer. The EDSAC was designed in late 1946 and executed its first program on May 6, 1949, when it calculated a table of squares.

**David John Wheeler** (9 February 1927 – 13 December 2004) was a computer scientist, having completed the world's first PhD in Computer Science in 1951. At the time, most academics associated with research on computing had graduate degrees in mathematics.

As a graduate student, Wheeler worked for Maurice Wilkes, the director of the University of Cambridge (England) Mathematical Laboratory, later called the "Computer Laboratory". Together with Wilkes and Stanley Gill, Wheeler worked on the development of the EDSAC, a computer designed as an experiment in developing computer programs. As a part of his work, Wheeler devised the idea of a subroutine to encapsulate shared code. Because of this, the JSR (Jump to Subroutine) instruction used to be called the "Wheeler Jump".

#### **The Return Address**

It is the idea of a return address that distinguishes the subprogram from other program constructs. In normal program execution, instructions are executed in sequence, one after another. In the case of a subprogram, a different sequence of instructions is executed before the next instruction. The idea is "go away and do this sequence, and then come back and do the next thing". The normal expectation is that execution should return to the instruction following the invocation of the subprogram. The address to which execution should return is the **return address**.

#### **Other Issues**

There are other issues to be addressed when designing a mechanism to support subprograms: how to pass values to the subprogram, and how to store local values required by the subprogram. We shall see that these mechanisms have changed as the requirements for the subprogram have evolved. We shall focus on the solutions adopted for software to run on a Pentium class CPU.

While it is possible to write a useful subprogram that requires no arguments, this option is not commonly the design choice. The two common options for passing arguments are "**call by value**" in which the value of the argument is passed and "**call by reference**" in which the address of the argument is passed. One variant of this is seen in the C programming language in which the address of an argument is explicitly accessed and passed by value.

## The Context of the Subprogram Call

As the last executable statement, a subprogram must return to the address just following the instruction that invoked it. It does this by executing a **branch** or **unconditional jump** to an address that has been stored appropriately.

Here is a bit more on the context of a subroutine call instruction. Let **EA** denote the **E**ffective **A**ddress of the subprogram to be called. The situation just before the call is shown below. At this point, the IP (Instruction Pointer) had already been moved to the next instruction.



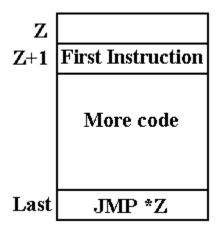
The execution of the CALL involves three tasks:

- 1. Computing the value of the Effective Address (EA).
- 2. Storing the current value of the Instruction Pointer (IP) so that it can be retrieved when the subroutine returns.
- 3. Setting the IP = EA, the address of the first instruction in the subroutine.

Here we should note that this mechanism is general; it is used for all subroutine linkage protocols. What differs is the handling of the return address.

### **Storing the Return Address**

The simplest method for storing the return address is to store it in the subroutine itself. This mechanism allocates the first word of the subroutine to store the return address. The next figure shows how this was done in the CDC–6600, an early supercomputer with word addressing, so that the instruction following address Z is at address (Z + 1).



If the subroutine is at address Z in a word–addressable machine such as the Boz–5, then

| Address Z       | holds the return address.  |
|-----------------|--|
| Address (Z + 1) | holds the first executable instruction of the subroutine.  |
| JMP *Z          | An indirect jump on Z is the last instruction of the subroutine.<br>Since Z holds the return address, this affects the return. |

This is a very efficient mechanism. The difficulty is that it cannot support recursion.

### An Aside: Static and Dynamic Memory Allocation

The efficiency of the early memory allocation strategies was also a source of some rigidity. All programs and data were loaded at fixed memory locations when the executable was built. Later modifications allowed the operating system to relocate code as required, but the essential model was fixed memory locations for each item.

Most early modifications of the static memory allocation strategy focused on facilitation of the program loader. One mechanism, undertaken in 1960 by IBM for a variety of reasons, was to make almost all memory addresses relative to the start address of the program. The mechanism was built on the use of a **base register**, which was initialized to the program start address. When the operating system relocated the code to a new address, the only change was the value of that base address. This solved many problems, but could not support modern programming styles.

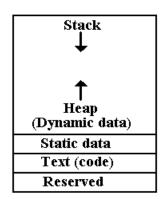
Modern computer programming style calls for use of recursion in many important cases. The factorial function is one of the standard examples of recursion. The code serves as a definition of the factorial function. The only non-standard modification seen in this code is that it returns the value 1 for any input less than 2. The mere fact that the factorial of -1 is not defined should be no excuse for crashing the code. Does the reader have a better idea?

```
Integer Factorial (Integer N)
If (N < 2) Then Return 1 ;
Else Return N*Factorial(N - 1);</pre>
```

**Dynamic memory allocation** was devised in order to support recursive programming and also a number of other desirable features, such as linked lists and trees. The modern design calls for an area of memory that can be allocated to dynamic allocation. The two important structures in this area are the **stack** and the **heap**. The stack is used to store the return address for a subprogram, the arguments to that subprogram, and the variables local to that program. The heap is used to store dynamic data structures, created by the **new** operator in Java or **malloc(**) in C and C++.

Actual physical memory on a computer is a fixed asset, so the designers had to decide how much to allocate to the stack and how much to allocate to the heap. An obvious simplification was quickly agreed upon; they would specify the total amount of memory allocated to both. The stack would be allocated from high memory and grow towards low memory. The heap would be allocated from low memory and grow towards high memory. As long as the two did not overlap, the available memory could be shared without the artificiality of a partition.

Here is as memory map showing the allocation for a computer in the MIPS–32 line. This is a modern microprocessor, commonly used in embedded applications.



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The stack begins at 32-bit address **0x7FFF FFC** and grows toward smaller values. The static data begins at address **0x1000 8000** and occupies an area above that address that is fixed in size when the program is loaded into memory.

The dynamic data area is allocated above the static data area and grows toward higher addresses.

Note that some data are allocated statically to fixed memory addresses even in this design. In C and C++, these would be variables declared outside of functions, retaining value for the life of the program.

### The IA-32 Implementation of the Stack

It is important to make one point immediately. The discussion in this chapter will focus on the IA–32 implementation of the stack and the protocols for subprogram invocation. However, the mechanisms to be discussed are in general use for all modern computer architectures. The register names may be different, but the overall strategy is the same.

There are a number of possible implementations of the **ADT** (Abstract **D**ata **T**ype) stack. This text will focus on that implementation as used in the Intel IA–32 architecture, seen in the Intel 80386, Intel 80486, and most Pentium implementations. We have already shown why it was decided to have the stack grow towards lower addresses; a PUSH operator decrements the address stored in the stack pointer. We now explain the precise use of the stack pointer. There are two possible models: the stack pointer indicates the address of the last item placed on the stack, or the stack pointer indicates the location into which to place the next item. Both are valid models, but only one can be used.

In the IA–32 architecture, the stack pointer is called **ESP** (Extended Stack Pointer). It is a 32–bit extension of the earlier 16–bit stack pointer, called SP. In this architecture, only 32–bit values are placed on the stack. As the IA–32 architecture calls for byte addressability and each stack entry holds four bytes, the stack addresses differ by 4.

Here is a pseudo-code representation of the implementation of the two main stack operators. While it may seem counterintuitive, the design calls for decrementing the ESP on a push to the stack and incrementing it on a pop from the stack.

| PUSH | ESP = ESP - 4<br>MEM[ESP] = VALUE                    | <pre>// Decrement the stack pointer // Place the item on the stack</pre> |
|------|--|--|
| POP  | $\begin{array}{llllllllllllllllllllllllllllllllllll$ | <pre>// Get the value // Increment the stack pointer</pre>               |

At some time during the operating system load, the stack pointer, ESP, is given an initial address. In the MIPS-32 design, the initial value is set at hexadecimal **0x7FFF FFFC**. The 32-bit entry at that address comprises bytes at addresses **0x7FFF FFFC**, **0x7FFF FFFD**, **0x7FFF FFFE**, and **0x7FFF FFFF**. The next higher 32-bit address would be **0x8000 0000**.

As a simpler illustration, consider the stack as might be implemented in a program running on an IA-32 system. We imagine that the stack pointer (ESP) contains the address  $0 \times 00001000$ , abbreviated in the figure to  $0 \times 1000$ . We begin with the stack containing one value. While all items on the stack will be 32-bit values (eight hexadecimal digits), we use 4 digits to illustrate.

| 0x1000 | 0x0BAD | ←ESP |
|--------|--------|------|
| 0x0ffc |        |      |
| 0x0FF8 |        |      |
| 0x0ff4 |        |      |
| 0x0ff0 |        |      |
| 0x0fec |        |      |

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At this point,  $ESP = 0 \times 00001000$ , better represented as  $0 \times 0000 \ 1000$  to facilitate reading the value. The figure shows this as  $0 \times 1000$  to save space.

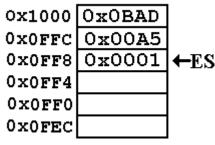
The decimal value 2,989 (hexadecimal **OxOBAD**) is stored at this address. The clever name is used to indicate that a program can assume as good data only what it actually places on the stack.

This discussion is adapted from Kip R. Irvine [R019].

The value 0x00A5 is pushed onto the stack.

| 0x1000           | OxOBAD |      |  |
|------------------|--------|------|--|
| 0x0ffc           | 0x00A5 | ←ESP | The address in the stack pointer is now  |
| 0x0ff8           |        |      | $ESP = 0 \times 0 \mathbf{FFC}.$   |
| 0x0ff4           |        |      | In hexadecimal arithmetic $0 \times 1000 - 4 = 0 \times FFC$ .                       |
| 0x0FF0<br>0x0FEC |        |      | Value <b>0x0C</b> represents decimal 12 and value <b>0x10</b> represents decimal 16. |

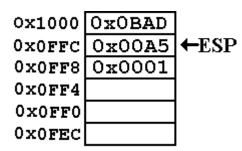
The value **0x0001** is pushed onto the stack.



**The address in the stack pointer is now ESP = 0 \times 0FF8**.

Note that 0x08 + 0x04 = 0x0C, another way of saying that 8 + 4 = 12 (decimal arithmetic).

A value is popped from the stack and placed in the 32-bit register EAX.



Now we have the following values ESP = **0x0FFC** EAX = **0x0001** 

Note that the value  $0 \times 0001$  is not actually removed from memory. Logically, it is no longer part of the stack. There may be some security advantages to zeroing out a location from which a value has been popped. I just don't know.

The value **0x0002** is pushed onto the stack.

|        |        | _    |
|--------|--------|------|
| 0x1000 | OxOBAD |      |
| 0x0ffc | 0x00A5 |      |
| 0x0ff8 | 0x0002 | ←ESP |
| 0x0ff4 |        |      |
| 0x0ff0 |        |      |
| 0x0fec |        |      |

Now again,  $ESP = 0 \times 0 FF8$ .

Note that the value in that address has been overwritten. This is the standard implementation of a stack. When a value is popped from the stack, its memory location is no longer logically a part of the stack, and is available for reuse.

Having given an introduction to the stack as implemented by the IA–32 architecture, we consider the use that was first mentioned. The stack is used to store the return addresses.

### Using a Stack for the Return Address

While a proper implementation of recursion depends on using the stack for more than just the return addresses, we shall focus on that for the moment. Consider the following code fragment, written in an entirely odd style with pseudo-code that uses labeled statements.

We begin with the code in the calling routine.

```
N = 3
M = FACT(N)
A1: J = M*3 // A silly statement, just to get the label
```

Here is a strange version of the called function, again with labels. The strange style, such as use of three lines in order to write what might have been written as K1 = L\*FACT(L - 1) is due to the fact that the executing code is basically a collection of very simple assembly language statements. There is also the fact that this illustration requires an explicit return address.

```
INTEGER FACT (INTEGER L)

K1 = 1;

IF (L > 1) THEN

L2 = L - 1;

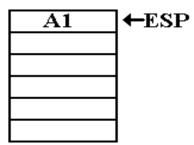
K2 = FACT(L2);

A2: K1 = L*K2;

END IF;

RETURN K1;
```

Here is the "story of the stack" when the code is called. When FACT is first called, with N = 3, the return address A1 is placed onto the stack.



Again, we should note that the real implementation of this recursive call requires the use of the stack for more than just the return address.

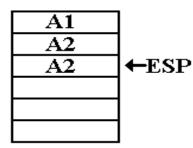
This first illustration focuses on the return address and ignores everything else.

The complete use of the stack will be the subject of much of the rest of this chapter.

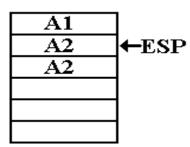
Here, the argument is L = 3, so the factorial function is called recursively with L = 2. The return address within the function itself is pushed onto the stack.

| A1 |      |
|----|------|
| A2 | ←ESP |
|    |      |
|    |      |
|    |      |
|    |      |

Now the argument is L = 2, so the function is called recursively with L = 1. Again, the return address within the function is pushed onto the stack.

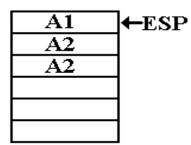


Now the argument is L = 1. The function returns with value 1. The address to which execution returns is the address A2 within the function itself. Overlooking the very inconvenient difficulty with establishing the value of the argument, we note the condition of the stack at that point.



Note that the second occurrence of the address A2 remains in the memory location recently indicated by the stack pointer, though it is no longer logically a part of the stack.

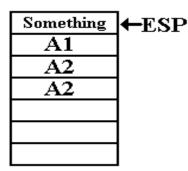
The computation continues, and the function produces the value 2. The next return is to the address A2, which is popped from the stack. The stack condition is now as follows.



The function produces the value 6, by a method not indicated in this discussion, and executes another return.

This time the return address is A1, the next line of code in the procedure that called this function.

After the return, the state of the stack is as follows.



### More Problems with Static Allocation

We have solved the problem of the management of return addresses for recursive subprograms by introducing the dynamic data structure called the stack. What we are left with at this point of our logical development is static allocation for the argument and local variables. As we shall make abundantly clear, this will not work for recursive subprograms.

Consider the factorial function, as written in its strange form above, but with a few additional lines that might also appear strange. Note the explicit static allocation of memory to hold four local integer variables. In a higher level language, the compiler handles this automatically.

```
INTEGER FACT (INTEGER L)
    K1 = 1 ;
    L1 = L ;
A3: IF (L1 > 1) THEN
       L2 = L1 - 1;
       K2 = FACT(L2);
       K1 = L1 * K2 ;
A2:
    END IF ;
    RETURN K1 ;
K1: DS INTEGER // Set aside four bytes to store an integer
                 // These four lines statically allocate
K2: DS INTEGER
                  // 16 bytes to store four integers.
L1: DS INTEGER
L2:
    DS INTEGER
```

It might be interesting to note the evolution of values for all four variables, but it is necessary only to look at two: **K1** and **L1**. We watch the evolution at the conditional statement, newly labeled **A3**. The label **L1** is used to force our attention to the storage of the argument **L**.

When called with L = 3, the values are K1 = 1 and L1 = 3. FACT(2) is invoked.

When called with L = 2, the values are K1 = 1 and L1 = 2. FACT(1) is invoked.

When called with L = 1, the values are K1 = 1 and L1 = 1. The value 1 is returned.

But note what the static allocation of data has done to this recursive scheme. When the function returns from K2 = FACT(L2), L1 ought to have the value 2. It does not, as the proper value has been overwritten. There are two options for the function if implemented in this style: either it returns a value of 1 for all arguments, or it crashes the computer.

#### **Automatic Variables**

As the problem with local variables in recursive subprograms arose from static allocation of memory, any proper design must allow for allocation that is not static. Because a uniform approach is always preferable to the creation of special cases, language designers have opted to apply this allocation scheme to all subprograms, including those that are not recursive.

In general, variables are now divided into two classes: **static** and **local**. In the C and C++ languages, local variables are often called "**automatic variables**" as the memory for these is automatically allocated by the compiler and run–time system.

In C and C++, **static variables** are considered to be those that are declared outside any subprogram. In Java, these variables can be explicitly declared within a method. Those variables explicitly declared as static retain their values between invocations of the method. There are some valid uses for static variables, but they cannot be used for recursion.

If **local variables** are to be allocated automatically, what is to be the mechanism for doing so? The answer has been to use the stack. The fact that the stack is also used to store return addresses does have some implications for computer security. Despite that downside, the mechanism is so convenient that it has been widely adopted.

There are two classes of data that are candidates for storage on the stack. The above illustration of static allocation treated them together in order to make a point. We now differentiate the two. There are **arguments** to be passed to the subprogram and **variables** local to that subprogram.

The protocol for passing arguments is easily described: push the arguments on the stack and then, as a part of the CALL instruction, push the return address onto the stack. If the arguments to the subprogram are pushed on the stack, then what is the order in which they are pushed?

There are two conventions, called the "**Pascal Convention**" and "**C Convention**". In the Pascal convention, used by the Pascal programming language, the arguments are pushed left to right. In the C convention, the arguments are pushed right to left. Consider the following subprogram invocation, in which L, M, and N are simple integer value parameters.

PROCA (L, M, N)

The sequence for a Pascal-like language would be

PUSH L PUSH M PUSH N CALL PROCA

The sequence for a C-like language would be

```
PUSH N
PUSH M
PUSH L
CALL PROCA
```

For arguments that are passed by reference, the address of the argument is put onto the stack. Consider the following line of C++ code, adapted from the book by Rob Williams.

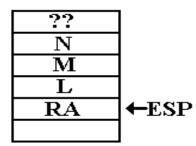
n = average (10, math\_scores)

This might be implemented in assembly language as follows:

| LEA EAX, math_scores | <pre>// Get the address of the array</pre> |
|----------------------|--|
| PUSH EAX             | <pre>// Push the address</pre>             |
| PUSH 0Ah             | // Push hexadecimal A, decimal 10          |
| CALL average         |  |

What we have is an address pushed onto the stack, followed by a value. The **CALL** instruction pushes the return address. As in many conventions, there is no abstract logical reason for preferring one stack ordering over the other; we just must be consistent.

Again in our development of the protocol for subprogram linkage, we shall overlook a very significant point, which will be introduced and justified later. At this point in the discussion, the subprogram has been called and the stack populated appropriately. This text will assume the calling conventions used in C and C++, so the state of the stack is as follows. After the call to PROCA (L, M, N), the stack condition is as follows. RA denotes the return address.



The "??" at the top of this drawing is used to illustrate one important feature of stack usage. Before the call to PROCA something has been placed on the stack. However, the code in PROCA must use only what was placed on the stack either by the call to PROCA or by PROCA itself. Whatever else is on the stack should be considered as unknowable. This basic assumption allows the coder to treat PROCA as independent.

How is PROCA to gain access to the values on the stack? One way would be to POP the values and place them in static storage within the procedure itself. There are two obvious objections to this in addition to the fact that the first value popped from the stack would be the return address.

It is possible to handle the return address problem by reordering the use of the stack in the calling procedure. While possible to push the return address first, such a choice leads to complication in implementation of the subprogram call instruction. It is much easier to design a mechanism that requires the return address to be pushed onto the stack just before subprogram invocation.

Another major problem with the above idea is that it returns to static allocation of memory, just using the stack to transfer data from one procedure to another. The second objection to this scheme had more importance in early computer designs: every argument is stored twice or three times. Copying of values from the calling program to the stack may be necessary, but copying of the values from the stack to the called subprogram is just wasteful.

### The Stack as a NOT-ADT

At this point, we observe that the stack pointer, **ESP**, is just an address. The arguments to the subprogram can be addressed relative to that address. At this point, before the subprogram has done anything, argument L is at address (ESP + 4), argument M is at address (ESP + 8), etc.

We must make a few comments on these observations. First, we are assuming the usage that is preferred for the Pentium and all other 32–bit designs. Only 32–bit (four byte) entries are placed on the stack. We are also looking at the stack before the subprogram has done anything at all. This condition will be discussed more fully once we have introduced the frame pointer.

The more significant point to be made is that we have given up on treating the run-time stack as an **ADT** (Abstract **D**ata **T**ype). A stack, in the sense of a course in Data Structures, is an ADT accessed only with a few basic operations such as PUSH, POP, IsEmpty, and possibly TOP. In the ADT sense, the stack pointer is not visible to code outside that used to implement the stack. At this point, and especially in what just follows in this discussion, the stack pointer is not only visible to the code, but also is explicitly manipulated by that code.

#### The Final Touches on the Protocol

We are now at the stage where we can justify the protocol actually used in the Pentium, as well as many other modern computers. Remember that the computer is to be seen as a true combination of both hardware and software. The hardware can provide the registers to support subprogram invocation, but there must be protocols for using that hardware. At the present time, the IA–32 architecture (as used in many Pentium implementations) is best seen as both hardware and protocols for writing the software.

Suppose that the procedure **PROCA** has a line of the form K = L + M + N. Setting aside the very important issue of how to allocate memory for the local variable K, we might imagine the use of addresses [**ESP** + 4], [**ESP** + 8], and [**ESP** + 12] (decimal numbering) to access the values represented by the variables. But such a strategy makes a significant assumption that is totally unwarranted; specifically it assumes that the stack pointer has not been altered by any code between entry to the subroutine and the use of the arguments. (Again, please ignore the fact that we know it has been so altered.)

If the stack is to be allowed to grow as the needs of the code require, we must assume that the value in **ESP** will also change unpredictably. If the address stored in the stack pointer is to be used reliably for access to the arguments, the only solution is to copy it to another location. The choice made by most designers is to use another register, often called the **frame pointer**. The designers of the Pentium elected to call this register the base pointer; the **EBP** (Extended Base **P**ointer) is the 32-bit generalization of the **BP**, used in the 80286 and earlier designs.

The last modification to the protocol is a result of a desire to allow nested subprograms. In our example, suppose that **PROCA** invokes **PROCB** with a new set of arguments, possibly derived from the values sent to **PROCA**. **PROCB** will then compute a value for the base pointer, thus overwriting the value of **EBP** used by **PROCA**. The protocol adopts the standard solution for values expected to be overwritten; it pushes the old value of EBP onto the stack.

### The Stack Frame

The **stack frame**, also called the **activation record**, refers to a part of the stack that is used for invocation of a particular subprogram. Note that it is not a fixed part of the stack, but refers to space allocated on the stack as needed. In actual running programs, the stack probably contains a number of activation records, intermixed with other data. When a subprogram is invoked, the run–time system software creates a new stack frame by explicitly manipulating the stack pointer to create a region of memory that can be referenced via the stack. Here is the complete procedure for creation of the stack frame, as implemented in the IA–32 architecture.

- 1. The passed arguments, if any, are pushed onto the stack.
- 2. The CALL instruction causes the return address to be pushed onto the stack.
- 3. Before the execution of the first code in the subprogram, EBP is pushed onto the stack.
- 4. EBP is set to the value stored in ESP; thereafter in the routine it is used to access the subroutine parameters as well as any local variables.
- 5. Space is allocated on the stack to store any variables local to the subprogram.
- 6. If the subprogram is written to store and restore values in the registers, these are pushed onto the stack before being altered.

As an illustration of the stack frame, we assume that the procedure so cleverly named PROCA has a single 32–bit local variable. We follow the process of its invocation.

The calling code again will be **PROCA** (L, M, N).

The high-level language representation of PROCA might begin as follows.

INT PROCA (I, J, K) K1 = I + J + K ; // K1 is a 32-bit value.

Suppose that the state of the stack before the invocation code for PROCA is as follows. We assume that L, M, and N are passed by value and that L = 16 (0x10), M = 32 (0x20), and N = 50 (0x32).

| 0x1000 | ?? | ←ESP | <b>ESP</b> = <b>0x1000</b> , pointing to something of |
|--------|----|------|---|
| 0x0ffc |    |      | use in the calling routine.                           |
| 0x0FF8 |    |      | Assume that the frame pointer for the calling         |
| 0x0FF4 |    |      | routine has the value                                 |
| 0x0ff0 |    |      |   |
| 0x0fec |    |      | $\mathbf{EBP} = \mathbf{0x100C}.$                     |

We now show a possible assembly language version of the calling code. Note that the addresses assigned to each instruction are only plausible.

| ; PROCA | (L, M, N)   |
|---------|-------------|
| 0x4000  | PUSH N      |
| 0x4004  | PUSH M      |
| 0x4008  | PUSH L      |
| 0x400C  | CALL PROCA  |
| 0x4010  | ADD ESP, 12 |

Here is a step by step illustration.

1. The passed arguments, if any, are pushed onto the stack.

| 0x1000 | ??     |      |
|--------|--------|------|
| 0x0ffc | 0×0032 |      |
| 0x0ff8 | 0×0020 |      |
| 0x0ff4 | 0×0010 | ←ESP |
| 0x0ff0 |        |      |
| 0x0fec |        |      |

2. The CALL instruction causes the return address to be pushed onto the stack.

| 0x1000 | ??     |      |
|--------|--------|------|
| 0x0ffc | 0×0032 |      |
| 0x0ff8 | 0×0020 |      |
| 0x0ff4 | 0×0010 |      |
| 0x0ff0 | 0×4010 | ←ESP |
| 0x0fec |        |      |

At this point, we must consider a plausible assembly language representation of the procedure PROCA. Note that the first line of code **does not** correspond to the first line in the high level language. There is entry code to handle allocation on the stack. Here is some plausible code for the first two lines of high level code.

```
INT PROCA (I, J, K)
;
    PUSH EBP
    MOV EBP, ESP ; Give EBP a new value
    SUB ESP, 4
                     ; Set storage for a 4-byte local variable
    PUSH EAX
                     ; Save the value stored in EAX.
    K1 = I + J + K
;
    MOV EAX, [EBP+8]
    ADD EAX, [EBP+12]
                        // Decimal values in source code
    ADD EAX, [EBP+16]
    MOV [EBP-4], EAX
                        // Store value in local variable
```

3. Before the execution of the first code in the subprogram, EBP is pushed onto the stack. This is done by the first assembly instruction in the entry code, which is **PUSH EBP**. Recall the assumed value for the base pointer **EBP** = **0x100C**.

|        |        | -    |
|--------|--------|------|
| 0x1000 | ??     |      |
| 0x0ffc | 0×0032 |      |
| 0x0ff8 | 0×0020 |      |
| 0x0ff4 | 0×0010 |      |
| 0x0ff0 | 0×4010 |      |
| 0x0fec | 0×100C | ←ESP |
| 0x0fe8 |        |      |
| 0x0FE4 |        |      |
| 0x0fe0 |        |      |
|        |        | -    |

- EBP is set to the value stored in ESP by the second line of the entry code, MOV EBP, ESP. Now EBP = 0x0FEC.
- 5. Space is allocated on the stack to store any variables local to the subprogram. This is done by the code **SUB ESP**, **4**, which explicitly manipulates the stack.

|        |        | _            |
|--------|--------|--------------|
| 0x1000 | ??     | ]            |
| 0x0ffc | 0×0032 |              |
| 0x0ff8 | 0×0020 |              |
| 0x0ff4 | 0×0010 |              |
| 0x0ff0 | 0×4010 |              |
| 0x0fec | 0×100C | <b>←</b> EBP |
| 0x0fe8 |        | ←ESP         |
| 0x0fe4 |        | ]            |
| 0x0fe0 |        |              |
|        |        | -            |

At this point, note that the address of the local variable is given by [EBP - 4]. The addresses of the three arguments (now called I, J, and K) are at [EBP + 8], [EBP + 12], and [EBP + 16].

One of the standard assumptions about subprogram design is that subprograms do not have any side effects. Possible side effects would include changing the values contained in any of the general purpose registers, with the exception of ESP and EBP, which change by design. As expected, the saved values are pushed onto the stack.

The subprogram sketched above is assumed to use only register EAX, and saves only that register. Other subprograms may save all or none of the general purpose registers. At present, when nobody codes in assembly language directly, it is the compiler conventions that dictate what is stored on the stack.

6. The subprogram saves the value of EAX on the stack. At this point, the stack condition is as follows.

| 0x1000 | ??      |      |
|--------|---------|------|
| 0x0ffc | 0×0032  |      |
| 0x0ff8 | 0×0020  |      |
| 0x0FF4 | 0×0010  |      |
| 0x0ff0 | 0×4010  |      |
| 0x0fec | 0×100C  | ←EBI |
| 0x0fe8 | For K1  |      |
| 0x0FE4 | For EAX | ←ESF |
| 0×0FE0 |         |      |
| -      |         |      |

Suppose that we are running a debugger and set the break point at the first executable high level language instruction K1 = I + J + K.

A simplistic reading of the textbooks indicates that the stack pointer, ESP, should point to the return address. It does not. The reason for this is that the entry code for the subprogram has executed, and modified ESP.

### Exit Code for the Subprogram

The code for exiting any subprogram must undo the effects of the entry code. Here are three lines that are typical of such exit code.

The code at address 0x4010 completes the subroutine invocation by clearing the stack. The code is **ADD ESP**, **12**, which sets the stack pointer to  $ESP = 0 \times 1000$ , the value before the code sequence for the subprogram execution. Note the state of the stack after all this is done.

| 0x1000 | ??      | ←ESP |
|--------|---------|------|
| 0x0ffc | 0×0032  |      |
| 0x0ff8 | 0×0020  |      |
| 0x0ff4 | 0×0010  |      |
| 0×0FF0 | 0×4010  |      |
| 0x0fec | 0×100C  |      |
| 0x0fe8 | For K1  |      |
| 0x0fe4 | For EAX |      |
| 0x0fe0 |         |      |
|        |         |      |

Here we see that nothing has been removed from the actual memory. In the current designs, a stack POP will not change the value in memory.

From a logical viewpoint, the memory at locations 0x0FE4 through 0x0FFF is no longer a part of the stack.

There are some security implications to leaving values in memory, but we leave that to another course.

### **Stack Smashing**

We now come to a standard trick used by malicious hackers. We shall define the term by illustrating it. Suppose that our subprogram PROCA had, as its only local variable, an array of four 32–bit integers. Call it A[4], with elements A[0], A[1], A[2], and A[3].

At the end of the entry code, the stack would resemble the following figure.

| 0x1000 | ??       |      | The array A has only four elements, validly accessed               |  |
|--------|----------|------|--|--|
| 0x0ffc | 0×0032   |      | as A[0], A[1], A[2], or A[3]. Suppose that the high level          |  |
| 0x0ff8 | 0×0020   |      | language lacks any array bounds checking logic. This               |  |
| 0x0FF4 | 0×0010   |      | situation is common for many languages, as bounds                  |  |
| 0x0ff0 | 0×4010   |      | checking slows program execution.                                  |  |
| 0x0fec | 0×100C   | ←EBP |  |  |
| 0x0fe8 | For A[3] |      | Simply setting $A[4] = 0$ will wipe out the saved value            |  |
| 0x0FE4 | For A[2] |      | for EBP.   |  |
|        | For A[1] |      | Setting $A[5] = 0x2000$ will change the return address.            |  |
|        | For A[0] |      | 0 1 1  |  |
| 0x0FD8 | For EAX  | ←ESP | <b>ESP</b> This changing of non–data values on the stack is called |  |
|        |          |      | stack smashing. It has many malicious uses.                        |  |

#### Static Code and Dynamic Data

It may or may not be true that the idea of using the stack for allocation of arguments, local variables, and return addresses arose from the need to write recursive subprograms. While this style of programming does facilitate recursion, indeed seeming to be necessary for it, it does have more uses than that.

Another software design strategy requires what is called "**reentrant code**", which is code that can be invoked almost simultaneously by more than one program. Standard examples of this style of coding are found in systems routines on time sharing computers.

Consider two users connected to the same computer and sharing its resources. A time sharing operating system will allocate time to each user in turn, leaving each to assume that no other users are accessing the computer. If these two users are both editing a file with the standard editor, each will be using the same loaded code with different stack pointers.

In summary, the static allocation of data and return addresses does present some advantages in execution speed. However, most users would prefer the flexibility afforded by dynamic allocation, such as afforded by the stack and the heap.